

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT

In re Application of: Chuang, et al.  
Serial No.: 10/029,118  
Filed: December 21, 2002  
Confirmation No.: 2838

#981151  
3-17-03  
Attorney Docket No.: KCX-452 (16789)  
Date: September 10, 2002  
Art Unit: 1731  
Our Account No.: 04-1403

Title: Method for the Application of Viscous Compositions  
To the Surface of a Paper Web and Products Made Therefrom

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. [X] Attached hereto is:

- a. [X] A list of materials for consideration per Rule 98(a)(1): 2 page(s)  
b. [X] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
57 item(s)

- c. [ ] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_

[ ] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. [X] This Information Disclosure Statement is being filed [CHECK ONE]:

- a. [X] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.

- b. [ ] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:

i. [ ] Certification per Rule 97(e); OR

ii. [ ] Filing Fee per Rule 17(p) .....\$180.00

- c. [ ] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:

i. Certification per Rule 97(e); AND

ii. Filing fee per Rule 17(p).....\$180.00

3. [ ] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. [ ] That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. [ ] That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_  
Address: \_\_\_\_\_

Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

5.[X] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

a.[X] First Class Mail Certificate of Mailing under Rule 8:

I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, on September 10, 2002.

Yolande R. Cook  
(Typed/printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

b.[ ] "Express Mail" Certificate under Rule 10:

"Express Mail" - Label No. \_\_\_\_\_  
Date of Deposit \_\_\_\_\_

I hereby certify that this paper and all attachments and any fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patent, U.S. Patent and Trademark Office, Washington, D.C. 20231.

\_\_\_\_\_  
(Typed/printed name of person mailing paper or fee)

\_\_\_\_\_  
(Signature of person mailing paper or fee)

ADDRESS:

Post Office Box 1449  
Greenville, South Carolina 29602  
Telephone: 864-271-1592  
Facsimile: 864-233-7342

DORITY & MANNING, P.A.

By: Christina L. Mangelsen - Patent Agent

Reg. No.: 50,244

Signature: 

Date: September 10, 2002



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENTIn re Application No.: Chuang, et al.Attorney Docket No.: KCX-452 (16789)Serial No.: 10/029,118Date: September 10, 2002Filed: December 21, 2002Art Unit: 1731Confirmation No.: 2838Our Account No.: 04-1403Title: Method for the Application of Viscous Compositions  
To the Surface of a Paper Web and Products Made TherefromCommissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

RECEIVED  
SEP 16 2002  
TC 1700

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. Attached hereto is:

- a. A list of materials for consideration per Rule 98(a)(1): 2 page(s)
- b. A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
57 item(s)
- c. For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_

[ ] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. This Information Disclosure Statement is being filed [CHECK ONE]:

- a. WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.

- b. AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:

i. Certification per Rule 97(e); OR

ii. Filing Fee per Rule 17(p) .....\$180.00

- c. AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:

i.      Certification per Rule 97(e); AND

ii.     Filing fee per Rule 17(p).....\$180.00

3. Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR

- b. That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_  
Address: \_\_\_\_\_

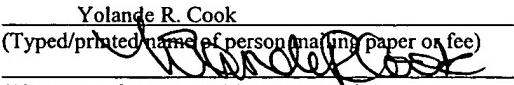
Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

5.[X] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

a.[X] First Class Mail Certificate of Mailing under Rule 8:

I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, on September 10, 2002.

Yolande R. Cook  
(Typed/printed name of person mailing paper or fee)  
  
(Signature of person mailing paper or fee)

b. [ ] "Express Mail" Certificate under Rule 10:

"Express Mail" – Label No. \_\_\_\_\_  
Date of Deposit \_\_\_\_\_

I hereby certify that this paper and all attachments and any fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patent, U.S. Patent and Trademark Office, Washington, D.C. 20231.

(Typed/printed name of person mailing paper or fee)  
(Signature of person mailing paper or fee)

ADDRESS:

Post Office Box 1449  
Greenville, South Carolina 29602  
Telephone: 864-271-1592  
Facsimile: 864-233-7342

DORITY & MANNING, P.A.

By: Christina L. Mangelsen - Patent Agent  
Reg. No.: 50,244  
Signature:   
Date: September 10, 2002



(R) 5/92 Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)		Attorney Docket Number KCX-452 (16789)	Serial Number 10/029,118
		Applicant Chuang, et al.	
		Filing Date 12/21/2002	Rec'd GPO 1731 SEP 16 2002 1700
		Confirmation No. 2838	

NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:

USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
USSN \_\_\_\_\_, filed \_\_\_\_\_;

Relied on under 35 U.S.C. Section 120, per Rule 98(d)

- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available

#### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
	Butin, et al.	3	8	4	9	2	4	1	11/19/74	
	Traver, et al.	4	6	1	8	6	8	9	10/21/86	
	Traver, et al.	4	6	9	9	9	8	8	10/13/87	
	Gabryszewski, et al.	4	9	1	1	9	5	6	3/27/90	
	Walter, et al.	4	9	5	0	5	4	5	8/21/90	
	O'Lenick, Jr.	5	0	9	8	9	7	9	3/24/92	
	Ampulski, et al.	5	0	5	9	2	8	2	10/22/91	
	Ampulski, et al.	5	1	6	4	0	4	6	11/17/92	
	Ampulski, et al.	5	2	1	5	6	2	6	6/1/93	
	Walter, et al.	5	2	2	7	2	4	2	7/13/93	
	O'Lenick, Jr. et al.	5	2	3	7	0	3	5	8/17/93	
	Taylor	5	2	4	5	5	4	5	9/14/93	
	Ampulski, et al.	5	2	4	6	5	4	5	9/21/93	
	Ampulski	5	2	4	6	5	4	6	9/21/93	
	Ampulski	5	3	8	5	6	4	3	1/31/95	
	Ampulski	5	3	8	9	2	0	4	2/14/95	
	Warner, et al.	5	5	2	5	3	4	5	6/11/96	
	Trohan, et al.	5	5	3	8	5	9	5	7/23/96	
	Ampulski, et al.	5	5	7	3	6	3	7	11/12/96	
	Krzysik, et al.	5	6	0	1	8	7	1	2/11/97	
	Krzysik, et al.	5	6	1	4	2	9	3	3/25/97	
	Fost, et al.	5	6	2	3	0	4	3	4/22/97	
	Mackey, et al.	5	6	2	4	6	7	6	4/29/97	
	Krzysik, et al.	5	6	5	0	2	1	8	7/22/97	
	Krzysik, et al.	5	6	6	5	4	2	6	9/9/97	
	Fost, et al.	5	6	8	8	4	9	6	11/18/97	
	Mackey, et al.	5	7	0	5	1	6	4	1/6/98	
	Halloran, et al.	5	7	0	7	4	3	4	1/13/98	
	Halloran	5	7	0	7	4	3	5	1/13/98	
	Czech	5	8	0	7	9	5	6	9/15/98	
	Vinson, et al.	5	8	1	4	1	8	8	9/29/98	
	Fost, et al.	5	8	4	9	3	1	3	12/15/98	
	Czech, et al.	5	8	5	6	5	4	4	1/5/99	
	Luu, et al.	5	8	7	1	7	6	3	2/16/99	
	Kwok, et al.	5	8	8	2	5	7	3	3/16/99	
	Krzysik, et al.	5	8	8	5	6	9	7	3/23/99	
	Kwok	5	9	0	2	5	4	0	5/11/99	



	Gee	5	9	2	5	4	6	9	7/20/99	
	Czech	5	9	8	1	6	8	1	11/9/99	
	Goulet, et al.	6	0	5	4	0	2	0	4/25/00	
	Kwok	6	0	7	7	3	7	5	6/20/00	
	Kuo, et al.	6	0	9	0	8	8	5	7/18/00	
	Snyder, Jr.	6	1	2	0	7	8	4	9/19/00	
	Ficke, et al.	6	1	2	6	7	8	4	10/3/00	
	Garvey, et al.	6	2	1	7	7	0	7	4/17/01	
	Garvey, et al.	6	2	3	1	7	1	9	5/15/01	
	Klofta, et al.	6	2	3	8	6	8	2	5/29/01	
	Eickhorn, et al.	6	3	0	6	4	0	8	10/23/01	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER							PUBLICATION DATE	TRANSLATION			COPY NOTE
		YES	NO	N/A									
	EP	1	0	2	3	8	6	3	A1	2/8/00	X		
	EP	1	0	5	9	0	3	2	A1	12/13/00	X		
	WO	9	7	0	4	1	7	1		2/6/97	X		
	WO	0	0	7	1	1	7	7	A1	11/30/00	X		
	WO	9	9	1	9	0	8	1		4/22/99	X		
	WO	0	2	4	8	4	5	8	A1	6/20/02	X		
	WO	0	1	2	8	3	3	7		4/26/01	X		
	WO	0	1	2	9	3	1	5		4/26/01	X		

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication		COPY NOTE
EXAMINER	DATE CONSIDERED		
Examiner:	initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chuang, et al.

Docket No.: KCX-452 (16789)

Serial No.: 10/029,118

Group No.: Unknown

Confirmation No.: 2838

Examiner: Unknown

Filed: December 21, 2001

Date: September 10, 2002

For: Method for the Application of Viscous Compositions to the Surface of a Paper Web and Products Made Therefrom"

### RELATED U.S. PATENT APPLICATION

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

The following commonly assigned U.S. Patent Application is being cited to the Examiner for review and consideration. Enclosed please find a copy of this application. Once the application has been reviewed, it is requested that the Examiner place his or her initial to the left of the identified patent on the separately enclosed list document to indicated that the specific patent application has been considered.

### RELATED U.S. APPLICATIONS

<u>Examiner's Initial</u>	<u>Inventor</u>	<u>Serial Number</u>	<u>Filing Date</u>	<u>Title of Application</u>
_____	Liu, et al.	10/036,735	12/21/2001	Method for the Application of Hydrophobic Chemicals to Tissue Webs